

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

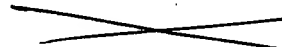
John G Shaw



Signature of Inventor

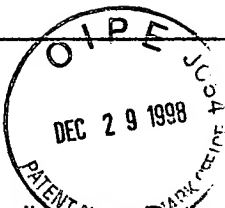


Signature of Inventor



Signature of Inventor

date 15 Dec 1998



IN THE U.S. PATENT OFFICE  
INDEPENDENT INVENTOR DECLARATION

Attorney's Docket No:

Applicant or Patentee:

Serial or Patent No: 09/170,234

Filed or Issued: 10/13/98

For:

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9 (f) and 1.27 (b) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled described in

- ☐ the specification filed herewith  
☒ application serial no. 09/170,234 , filed 10/13/98  
☐ patent no. \_\_\_\_\_ , issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (c) or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization  
☐ persons, concerns or organizations listed below\*

**\*NOTE:** Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

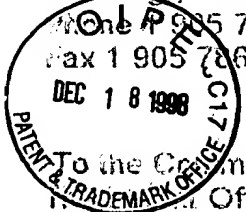
FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b)).

03co

John G. Shaw,  
PO Box 325,  
Cobourg, On K9A 4W5  
Phone 1 905 786 2467  
Fax 1 905 786 2903



Dec 3 1998

To the Commissioner of Patents, /  
Patent Office,  
Washington, D C 20231

Dear Sir,

re US Patent Application  
Sn 09/170/234.  
Filed 10/13/98/  
title Rot Protector.

Thank you for the notice of missing parts. I am a small entity and am entitled to file with the small entity fee scale.

Accordingly I am enclosing herewith an independent Inventor declaration, signed by myself

I trust that this completes the file to your satisfaction.  
If you require anything more please let me know.

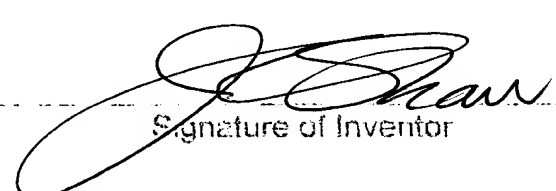
Yours sincerely,

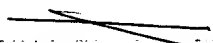
John G Shaw

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed

John G Shaw

  
Signature of Inventor

  
Signature of Inventor

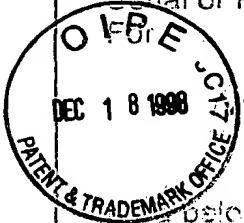
  
Signature of Inventor

date 14 Dec 1998

**IN THE U.S. PATENT OFFICE  
INDEPENDENT INVENTOR DECLARATION**

Attorney's Docket No.

Applicant or Patentee:

Serial or Patent No. 09/170,234Filed or Issued: 10/13/98

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9 (i) and 1.27 (i)) - INDEPENDENT INVENTOR**

I, below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (i) for on basis of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled

described in

- ( ) the specification filed herewith  
 (X) application serial no. 09/170,234 filed 10/13/98  
 ( ) patent no. \_\_\_\_\_ issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, I \_\_\_\_\_ / concern which would not qualify as a small business concern under 37 CFR 1.27 (i) / company not organization under 37 CFR 1.9 (e)

Each person, other than me, \_\_\_\_\_ whom in which I have assigned, granted, conveyed, or licensed or under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- (X) \_\_\_\_\_ no such person, concern or organization  
 ( ) \_\_\_\_\_ persons, concerns or organizations listed below:

**NOTE:** Support the claimed status with evidence and have each named person, concern or organization, having rights in the invention, verify its status as small entity (37 CFR 1.27).

FULL NAME:

ADDRESS:

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

I acknowledge the duty to file a written declaration of any change in status, starting with the declaration, or at any status prior to paying, or at the time of paying, the fee for the use of the fee in any maintenance fee. This after the date on which status as a small entity is no longer appropriate (37 CFR 1.25 (b)).